

Application to buy fund units of Adrigo Small & Midcap L/S

Fund units of Adrigo Small & Midcap L/S **ISIN-code SE0010440735** can be bought on the last banking day every month. No later than three banking days in advance, application in writing must reach Adrigo Asset Management and payment must be received/booked to the bank account of the Fund with **SEB 5851-11 124 56, IBAN Account SE80500000005851112456 (BIC/SWIFT - ESSESESS)**. When wiring funds, please state personal/company name. Importantly bank charges must be charged to the sender since it is only the amount which is credited to the Fund's account which can be invested.

Personal / Company Information

Family name, first name/Company name	Personal ID No/Corp Reg No
Address	Post code/Zip code
City	Country (if other than Sweden)
Residence for tax purposes (if other than Sweden)	e-mail address*
Telephone	Amount in SEK (min. initial 50.000, thereafter 10.000)

*In order to receive our monthly newsletter please specify your email address

The Annual Report and the Half Year Statement are published on the website www.adrigo.se

Guardian (if applicable)

Guardian name	Guardian's personal identification number
Guardian name	Guardian's personal identification number

Bank Account to receive future payments from the Fund

Bank	Clearing number	Account number
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I/we declare that I/we have read, understood and accepted the fund regulations and that the information provided herein is correct. I/we declare to promptly notify Adrigo Asset Management of any changes, e.g. moving abroad, changes of addresses, bank accounts etc.

If the application concerns an individual a certified copy of valid identification documentation, containing the date, has to be enclosed.

If the application concerns a legal entity, certificates of registration, not older than six months, have to be enclosed. In addition a certified copy of valid identification documentation, containing the date, for authorized signatories and for the beneficial owner has to be enclosed.

.....
Place

.....
Date

.....
Signature

.....
Printed name

The application shall be sent to Adrigo Asset Management by email to admin@adrigo.se or by fax to +46 8 505 887 70. All original documents shall be sent by mail to Adrigo Asset Management, Kungsgatan 33, Box 1364, SE-111 93 Stockholm, Sweden.

ADRIGO

Adrigo Asset Management
Kungsgatan 33, Box 1364 • SE-111 93 Stockholm • Sweden
Tel +46 8 505 887 00 • Fax +46 8 505 887 70
www.adrigo.se

Client Questionnaire - Adrigo Small & Midcap L/S

Please clarify in which capacity you are investing?

- For my own account
 For other person via proxy
 As guardian
 Legal entity

Are you a person of political exposed persons (PEP – Political Exposed Person)?

YES NO

Persons who are or have been entrusted with prominent public functions.

Prominent public function includes for example the Heads of State or of Government, members of parliament, ministers, judges of the Supreme Court and the Supreme Administrative Court, the Auditors General, Governor Executive Board, ambassadors, high-ranking officers, the CEO or board member of a state-owned company or a member of management in an international organization (eg, UN, Council of Europe, NATO or WTO).

Are you a family member or a known associate of a politically exposed person (PEP)?

YES NO

Family members means wife / husband / registered partner, children and their spouses or partners and parents.

A known associate means persons acting as beneficial owner on behalf of a PEP or a person who in other ways has close business relationships with a PEP, or a person who is the real beneficial owner of a legal person / legal entity established for the benefit of a PEP

For the definition of a PEP, see above.

Legal entity

Please notify ownership in excess of 25 % of capital or votes in the corporation. This section is not applicable if the company is a publicly listed company within the EES, or if the company is supervised by the Swedish Financial Supervisory Authority.

Name	Social security no/Reg. number (corporate)	Ownership in %
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If subscription is on behalf of a society, foundation, trust or similar, please specify name and address of future beneficiaries or alternatively name of the physical persons who have the prime interest of the legal entity's creation or operation.

Name	Social security no/Reg. number (corporate)
Address – including postal code and City	Country

What is the purpose of the investment?

- Savings/Investment
 Other:

Origination of the funds which you are investing?

- Savings/Investment
 Sale of company
 Salary
 Revenues of company
 Inheritance/gift
 Other:
 Sale of Property

Adrigo Hedge is a so called "Specialfond" according to the Swedish Act (2013:561) of Managers of Alternative Investment Funds and is therefore not to be considered as a UCITS fund.
 Management Company: East Capital Asset Management S.A., corporate identity no. B 136 364.
 Portfolio Manager: Adrigo Asset Management, a division of East Capital Financial Services AB, corporate identity no. 556988-2086.
 For full information please see www.adrigo.se.

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Mandatory verification question when signing an application

FATCA

Due to the United States tax law, the Foreign Account Tax Compliance Act ("FATCA") and accompanying intergovernmental agreements between Sweden and the United States, financial institutions such as Adrigo Asset Management are obliged to inform US tax authorities of holdings by individuals and companies taxable in the United States. The data is reported to the Swedish Tax Agency, which, in turn, pass the information to the United States tax authorities.

CRS

The Common Reporting Standard (CRS) is a global standard for automatic exchange of financial account information. In Sweden, CRS obligations are governed by the Act on Identifying Reportable Accounts for Automatic Exchange of Financial Account Information. Due to the law, Adrigo Asset Management is required to identify and provide information about accounts held by persons with tax residence in a state other than Sweden and the United States. The data is reported to the Swedish Tax Agency, which, in turn, pass the information to the tax authorities of the relevant country.

Please clarify in which capacity you are investing

Physical person

Legal entity

- business

Could you be considered fully (or partially) taxable in a country other than Sweden?

Physical person

YES NO

If yes, please state;

Country

.....

TIN (Tax Identification Number)

.....

Legal entity

YES NO

If yes, please state;

Country

.....

TIN/GIIN (Global Intermediary Identification Number)

.....

I/we declare that the above information that I/we have provided in this questionnaire is current, accurate and correct.
I/we declare to promptly notify Adrigo Asset Management of any changes.

.....
Place

.....
Date

.....
Signature

.....
Name (please print)

ADRIGO

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