

# Application to buy fund units of Adrigo Hedge

Fund units of Adrigo Hedge **ISIN-code SE0001862426** can be bought every banking day. The application in writing and payment must reach Adrigo Asset Management AB no later than at 2 pm on a banking day. When the Stockholm Stock Exchange closes at 1 pm, applications and payment must be received no later than at 10 am. The payment should be transferred to the bank account of the Fund with **SEB 5565-10 383 96, IBAN Account SE675000000055651038396 (BIC/SWIFT - ESSESESS)**. When wiring funds, please state personal/company name. Importantly bank charges must be charged to the sender since it is only the amount which is credited to the Fund's account which can be invested.

## Personal / Company Information

Family name, first name/Company name	Personal ID No/Corp Reg No
Address	Post code/Zip code
City	Country (if other than Sweden)
Residence for tax purposes (if other than Sweden)	e-mail address*
Telephone	Amount in SEK

\*In order to receive our monthly newsletter please specify your email address

The Annual Report and the Half Year Statement are published on the website [www.adrigo.se](http://www.adrigo.se)

## Guardian (if applicable)

Guardian name	Guardian's personal identification number
Guardian name	Guardian's personal identification number

## Bank Account to receive future payments from the Fund

Bank	Clearing number	Account number
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I/we declare that I/we have read, understood and accepted the fund regulations and that the information provided herein is correct. I/we declare to promptly notify Adrigo Asset Management AB of any changes, e.g. moving abroad, changes of addresses, bank accounts etc.

If the application concerns an individual a certified copy of valid identification documentation, containing the date, has to be enclosed.

If the application concerns a legal entity, certificates of registration, not older than six months, have to be enclosed. In addition a certified copy of valid identification documentation, containing the date, for authorized signatories and for the beneficial owner has to be enclosed.

.....  
Place

.....  
Date

.....  
Signature

.....  
Printed name

The application shall be sent to Adrigo Asset Management AB, by email to the following address [admin@adrigo.se](mailto:admin@adrigo.se) or by fax to +46 8 505 887 70. All original documents shall be sent by mail to the Adrigo Asset Management AB, Grev Turegatan 14, SE-114 46 Stockholm, Sweden.



**Adrigo Asset Management AB**  
Grev Turegatan 14 • SE-114 46 Stockholm • Sweden  
Tel +46 8 505 887 00 • Fax +46 8 505 887 70  
[www.adrigo.se](http://www.adrigo.se)

Registered office: Stockholm • Reg no 556716-4719  
Regulated by the Swedish Financial Supervisory Authority

# Client Questionnaire - Adrigo Hedge

## Please clarify in which capacity you are investing?

- For my own account     For other person via proxy     As guardian  
 Legal entity

## Are you a person of political exposed persons (PEP – Political Exposed Person)?

YES     NO

*Persons who are or have been entrusted with prominent public functions.*

*Prominent public function includes for example the Heads of State or of Government, members of parliament, ministers, judges of the Supreme Court and the Supreme Administrative Court, the Auditors General, Governor Executive Board, ambassadors, high-ranking officers, the CEO or board member of a state-owned company or a member of management in an international organization (eg, UN, Council of Europe, NATO or WTO).*

## Are you a family member or a known associate of a politically exposed person (PEP)?

YES     NO

*Family members means wife / husband / registered partner, children and their spouses or partners and parents.*

*A known associate means persons acting as beneficial owner on behalf of a PEP or a person who in other ways has close business relationships with a PEP, or a person who is the real beneficial owner of a legal person / legal entity established for the benefit of a PEP*

*For the definition of a PEP, see above.*

## Legal entity

Please notify ownership in excess of 25 % of capital or votes in the corporation. This section is not applicable if the company is a publicly listed company within the EES, or if the company is supervised by the Swedish Financial Supervisory Authority.

Name	Social security no/Reg. number (corporate)	Ownership in %
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Name	Social security no/Reg. number (corporate)	Ownership in %

If subscription is on behalf of a society, foundation, trust or similar, please specify name and address of future beneficiaries or alternatively name of the physical persons who have the prime interest of the legal entity's creation or operation.

Name	Social security no/Reg. number (corporate)
Address – including postal code and City	Country

## What is the purpose of the investment?

- Savings/Investment     Other: .....

## Origination of the funds which you are investing?

- Savings/Investment     Sale of company  
 Salary     Revenues of company  
 Inheritance/gift     Other: .....  
 Sale of Property

Adrigo Hedge, managed by Adrigo Asset Management AB, is a so called "Specialfond" according to the Swedish Act (2013:561) of Managers of Alternative Investment Funds. Adrigo Hedge is not a UCITS-fund. For full information please see Adrigo Asset Management's website, [www.adrigo.se](http://www.adrigo.se).



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## Mandatory verification question when signing an application

### FATCA

Due to the United States tax law, the Foreign Account Tax Compliance Act ("FATCA") and accompanying intergovernmental agreements between Sweden and the United States, financial institutions such as Adrigo Asset Management AB are obliged to inform US tax authorities of holdings by individuals and companies taxable in the United States. The data is reported to the Swedish Tax Agency, which, in turn, pass the information to the United States tax authorities.

### CRS

The Common Reporting Standard (CRS) is a global standard for automatic exchange of financial account information. In Sweden, CRS obligations are governed by the Act on Identifying Reportable Accounts for Automatic Exchange of Financial Account Information. Due to the law, Adrigo Asset Management AB is required to identify and provide information about accounts held by persons with tax residence in a state other than Sweden and the United States. The data is reported to the Swedish Tax Agency, which, in turn, pass the information to the tax authorities of the relevant country.

### Please clarify in which capacity you are investing

Physical person

Legal entity

- business

### Could you be considered fully (or partially) taxable in a country other than Sweden?

Physical person

YES  NO

If yes, please state;

Country

.....

TIN (Tax Identification Number)

.....

Legal entity

YES  NO

If yes, please state;

Country

.....

TIN/GIIN (Global Intermediary Identification Number)

.....

I/we declare that the above information that I/we have provided in this questionnaire is current, accurate and correct.  
I/we declare to promptly notify Adrigo Asset Management AB of any changes.

.....  
Place

.....  
Date

.....  
Signature

.....  
Name (please print)



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